



DAC #125
(S) \$
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
John C. Harvey and James W. Cuddihy:

Group Art Unit: 2737
Examiner: FAILE, A.
Attorney Docket: 05634.0186

Serial No.: 08/474,146

Filed: June 7, 1995

For: **SIGNAL PROCESSING APPARATUS
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks
Washington, D.C. 20231

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**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/474,146 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/474,146 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3140 for the recalculated fees of \$908.00 to convert the above-referenced application from small entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/05/2001 SLUANG1 00000008 08474146

01-FC:699

-908.00-0P

Adjustment date: 01/10/2001
01/08/2001 SLUANG1 00000055 08474146
03 FC:699
01/10/2001 LGIBBS 00000009 08474146
01 FC:197
01/08/2001 SLUANG1 00000055 08474146
01 FC:117
02 FC:102
03 FC:699
890.00 0P
160.00 0P
401.00 0P

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

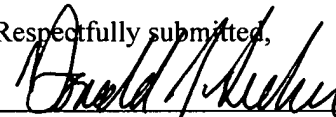
Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$78.00
Response to first action new claim fees:	
Response to first action extension of time:	\$465.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
TOTAL	\$908.00

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000
HUNTON & WILLIAMS
1900 K Street, N.W.
12th Floor
Washington, D.C. 20006

Respectfully submitted,


Thomas J. Scott, Jr.
Reg. No. 27,836
Donald J. Lecher
Reg. No. 41,933
Attorneys for Applicants
Tel: (202) 955-1938

Transaction List

Search by:

☐ Accounting Date: Operator ID:

(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
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10/11/2000	LBADIE	4	SALE	117	A	890.00	08474146	
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08/14/2000	KWARD1	8	SALE	126	A	240.00	08474146	
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04/12/1999	MFLETCH	29	SALE	103	A	1,908.00	08474146	
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04/12/1999	MFLETCH	28	SALE	102	A	702.00	08474146	
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10/06/1998	RLOGAN	6	SALE	103	A	220.00	08474146	083038
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10/06/1998	RLOGAN	5	SALE	117	A	950.00	08474146	
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07/31/1997	RJOHNSON	227	SALE	217	A	465.00	08474146	
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01/08/1996	070DF	101	CRDA	202	A	78.00	08474146	
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08/16/1995	300SC	2602	CRDA	101	A	365.00	08474146	
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Adjustment Detail

Authorization Detail



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01/08/1996 070DF 000000101 FC:202 -78.00 0

Adjustment date: 01/08/2001 SLUANG1
07/31/1997 RJOHNSON 00000164 08474146
01 FC:217 -465.00 0P

Adjustment date: 01/08/2001 SLUANG1
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